#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### **DECLARATION**

As below named inventors, we hereby declare that:

Our residence, post office addresses, and citizenship are as stated below next to our name.

We believe we are the original, and first inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled MECHANICAL AND ACOUSTICAL SUSPENSION COATING OF MEDICAL IMPLANTS, the application for which is filed herewith in the United States Patent and Trademark Office.

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this declaration.

We acknowledge the duty to disclose all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

#### None

We hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

09/804,040 filed 3/13/2001; 09/551,614 filed 4/17/2000; and 09/293,994 filed 4/19/1999

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

				one	

Marlene C. Schwarz

Inventor's signature

(, >2 6

Date

161 Islington Road

Auburndale, Massachusetts 02466

Citizenship

Residence

United States

Post Office Address

(same as above)

Full name of inventor two

Stanley Tocker

Inventor's signature

Ature, Tirler

Date

January 22, 2002

Residence

4656 Norwood Drive

Wilmington, Delaware 19803

Citizenship `

**United States** 

Post Office Address

(same as above)

395031v1

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Marlene C. Schwarz and

Stanley Tocker

Serial No.:

To Be Assigned

Filing Date:

Herewith

For:

MECHANICAL AND ACOUSTICAL SUSPENSION COATING OF MEDICAL

**IMPLANTS** 

Honorable Commissioner of Patents and Trademarks

Washington, DC 20231

#### **GRANT OF POWER OF ATTORNEY PURSUANT TO 37 C.F.R. § 3.71**

SIR:

Assignee hereby appoints: Charles R. Brainard (Reg. No. 21,069); John C. Altmiller (Reg. No. 25,951); Estelle J. Tsevdos (Reg. No. 31,145); Douglas E. Ringel (Reg. No. 34,416); Judith Toffenetti, (Reg. No. 39,048); Kristina Jahns (Reg. No. 41,092); Timothy M. Devlin (Reg. No. 41,706); William M. Merone (Reg. No. 41,993); Fred Grasso (Reg. No. 43,644); David Greenbaum (Reg. No. 46,739); Teresa Lavenue (Reg. No. 47,737); Dalia Grimberg (Reg. No. 47,611); Julie Stein (Reg. No. 43,158); Jennifer King (Reg. No. 46,828); Thomas Haag (Reg. No. 47,621); Scott Bluni (Reg. No. 40,916); Mark J. Casey (Reg. No. 37,796); Luke R. Dohmen (Reg. No. 36,783); Peter J. Gafner (Reg. No. 36,517); Albert K. Kau (Reg. No. 40,672); Steven A. McAuley (Reg. 46,084); Todd P. Messal (Reg. No. 42,883); Robert M. Rauker (40,782); and William J. Shaw (Reg. No. 43,111) to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. All previous Powers of Attorney in connection with this application are hereby revoked. Please send all correspondence to:

Charles R. Brainard KENYON & KENYON One Broadway New York, NY 10004

and direct all phone calls to Charles R. Brainard at (212) 425-7200.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of

the assignee.

18 FEB 2002

(Reg. No. <u>40</u> Patent Counsel

Date

on behalf of: SCIHED LIFE SYS. INC

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

Marlene C. Schwarz and

Stanley Tocker

Serial No.:

To Be Assigned

Filing Date:

Herewith

For:

**MECHANICAL AND** 

ACOUSTICAL SUSPENSION

COATING OF MEDICAL

**IMPLANTS** 

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

Honorable Commissioner of Patents and Trademarks Washington, DC 20231

#### **CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

SCIMED Life Systems, Inc., a corporation, certifies that it is the assignee of the entire right, title, and interest in the United States patent application identified above by virtue of an assignment from Act Medical. The assignment is being submitted to the United States Patent and Trademark Office for recordation, and a copy of the assignment and the recordation cover sheet are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

18 FEB ZOOZ

(Reg. No. 40)
Patent Counsel

on behalf of:

SCHED LIFE SYS, INC



MAY 07, 2002

**PTAS** 

Commissioner for Trademarks Arlington, VA 22202-3513 www.uspto.gov

KENYON & KENYON FRED T. GRASSO 1500 K STREET, N.W. SUITE 700 WASHINGTON, D.C. 20005



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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/01/2002

REEL/FRAME: 012663/0070

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SCHWARZ, MARLENE C.

DOC DATE: 01/23/2002

ASSIGNOR:

TOCKER, STANLEY

DOC DATE: 01/22/2002

ASSIGNEE:

SCIMED LIFE SYSTEMS, INC.
ONE SCIMED PLACE
AN ORGANIZATION OF THE STATE OF
MINNESOTA
MAPLE GROVE, MINNESOTA 55311-1566

SERIAL NUMBER: 09804040

PATENT NUMBER:

FILING DATE: 03/13/2001

ISSUE DATE:

5/1,402

012663/0070 PAGE 2

ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

03-15-2002



# 03/01/02

U.S. Department of Commerce
Patent and Trademark Office
PATENT

102017485

## CORDATION FORM COVER SHEET PATENTS ONLY

10: 110 001111110010	ner of Patents and Trademarks:	Please record the attached original docu	iment(s) or conv(ies)	
TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).  Submission Typ  Conveyance Type				
New		l <u> </u>	/ Agreement	
Resubmission (Non-Recordation)		·		
Document ID #			of Name	
Correction of I	PTO Error Frame	☐ Merger ☐ Other		
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Conveying Party(ies)				
		_	Execution Date	
Name (line 1)	Marlene C. SCHWARZ		Month Day Year 01 23 2002	
(me )	Ivialielle C. SCHWARZ		01 23 2002	
Name (line 2)				
Second Party			Execution Date	
_			Month Day Year	
Name (line 1)	Stanley TOCKER		01 22 2002	
Name (line 2)				
Receiving Party		Mark if additional names	of receiving parties attached	
Name (line 1)	SCIMED LIFE SYSTEMS,	INC.	If document to be recorded	
	is an assignment and the			
Name (line 0)			is an assignment and the	
Name (line 2)	An organization of the Stat	e of Minnesota		
		e of Minnesota	is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic	
Name (line 2)  Address (line 1)	An organization of the Stat	e of Minnesota	is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a	
Address (line 1)		e of Minnesota	is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from	
		e of Minnesota	is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a	
Address (line 1) Address (line 2)	One SCIMED Place		is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Address (line 1)	One SCIMED Place  Maple Grove	Minnesota	is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Address (line 1)  Address (line 2)  Address (line 3)	One SCIMED Place	Minnesota State/Country	is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)  55311-1566  Zip Code	
Address (line 1)  Address (line 2)  Address (line 3)	One SCIMED Place  Maple Grove  City	Minnesota State/Country	is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)  55311-1566  Zip Code	
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Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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FORM PTO-1619B

Pag 2

U.S. Department of Commerce Patent and Trademark Office

Expires 06/30/99 OMB 0651-0027	PATENT			
Correspondent	Name and Address Area Code and Telephone Number (202) 220-4311			
Name	Fred T. Grasso			
Address (line 1)	Kenyon & Kenyon			
Address (line 2)	1500 K Street, N.W.			
Address (line 3)	Suite 700			
Address (line 4)	Washington, D.C. 20005			
Pages	Enter the total number of pages of the attached conveyance document including any attachments # 5			
Application Number(s) or Patent Number(s)  Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).  Patent Application Number(s)  Patent Number(s)  09/804,040  If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.  Patent Cooperation Treaty (PCT)  Enter PCT application number  PCT PCT PCT  PCT  PCT  PCT  PCT  PCT				
	U.S. Application as not been assigned. PCT PCT PCT PCT			
Number of Prop	Enter the total number of properties involved. #			
Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00  Method of Payment: Enclosed Deposit Account Deposit Account Enter for payment by deposit account or if additional fees can be charged to the account.)  Deposit Account Number: # 11-0600				
	Authorization to charge additional fees: Yes No 🗌			
Statement and Signature  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.				
Fred T. Grasso (Reg				
Name of Person Signing / Signature Date				

Attorney Docket: 12013/53904

#### **ASSIGNMENT**

WHEREAS, We,

- 1) Marlene C. Schwarz, a citizen of United States; and
- 2) Stanley Tocker, a citizen of United States.

residing at

- 1) 161 Islington Road, Auburndale, Massachusetts 02466; and
- 2) 4656 Norwood Drive, Wilmington, Delaware 19803.

(hereinafter also referred to as "the Assignors"); have invented new and useful improvements in MECHANICAL AND ACOUSTICAL SUSPENSION COATING OF MEDICAL IMPLANTS, for which we have made application for Letters Patent of the United States, said application being filed in the United States Patent and Trademark Office herewith; and

WHEREAS, SCIMED LIFE SYSTEMS, INC., organized and existing under and by virtue of the laws of the State of Minnesota, having an office at One SCIMED Place, Maple Grove, Minnesota 55311-1566, (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent that may be issued upon the application or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservations:

- 1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.
- 2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

Attorney Docket: 12013/53904

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

- 4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance.
- 5. Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives, or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have of, 2002.	ave hereunto set my hand and seal this <u>23</u> day
j <b>y</b> / Marti	lene C. Schwarz
United States of America	)
State/Commonwealth of	) ss.:
County of	)
On this day of 20, before a me known to be the individual described in and wacknowledged execution of same.	me personally came Marlene C. Schwarz, to who executed the foregoing instrument, and
	Notary Public

Attorney Docket: 12013/53904

of <u>diamon</u> , 2002.	ave hereunto set my hand and seal this $22$ day  ley Tocker
United States of America	)
State/Commonwealth of Delaware	) ss.:
2/200	

On this 22 day of  $20^{\circ 2}$ , before me personally came **Stanley Tocker**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.

Notary Public

MARIAN M. ASKIN
NOTARY PUBLIC-DELAWARE
My Commission Expline June 4, 2002